Banking Regulatory Frameworks Training Course

Professional Development Training Course Outline

Category	Banking Institute	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

In today’s rapidly evolving financial ecosystem, Banking Regulatory Frameworks play a critical role in ensuring financial stability, risk mitigation, compliance excellence, and institutional integrity. Banking Regulatory Frameworks Training Course delivers a comprehensive, industry-driven exploration of global and regional banking regulations, focusing on Basel III/IV compliance, AML/CFT frameworks, risk governance, prudential regulation, and supervisory best practices. Participants will gain deep insights into regulatory reporting, compliance management systems, fintech regulation, and ESG governance, equipping them with cutting-edge tools to navigate complex regulatory environments.

With increasing scrutiny from regulators and the rise of digital banking, cybersecurity threats, and financial crime risks, financial institutions must adopt robust compliance strategies and proactive regulatory alignment. This course integrates real-world case studies, regulatory updates, and practical implementation strategies, enabling professionals to strengthen corporate governance, regulatory risk management, and compliance culture while enhancing organizational resilience and sustainable growth.

Programme Curriculum

Banking Regulatory Frameworks Training Course

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Course Duration

5 days

Course Objectives

By the end of this course, participants will be able to:

1. Understand global banking regulatory frameworks and Basel standards
2. Analyze regulatory compliance and governance best practices
3. Implement AML, KYC, and financial crime prevention strategies
4. Strengthen risk-based regulatory compliance frameworks
5. Evaluate prudential regulations and capital adequacy requirements
6. Apply regulatory reporting and disclosure standards
7. Integrate fintech and digital banking regulatory requirements
8. Enhance enterprise risk management and compliance culture
9. Identify emerging regulatory trends and ESG compliance factors
10. Develop internal control systems aligned with regulatory expectations
11. Assess cybersecurity and data protection regulations in banking
12. Improve regulatory audit readiness and supervisory engagement
13. Design strategic compliance frameworks for sustainable banking growth

Target Audience

1. Compliance Officers and Risk Managers
2. Banking Executives and Senior Management
3. Internal Auditors and Regulatory Officers
4. Financial Analysts and Consultants
5. Central Bank and Regulatory Authority Staff
6. AML/KYC Specialists
7. Fintech and Digital Banking Professionals
8. Legal and Governance Professionals in Finance

Course Modules

Module 1: Overview of Banking Regulatory Frameworks

- Evolution of global banking regulations
- Key regulatory bodies and their roles
- Basel I, II, III, and IV frameworks
- Regulatory compliance lifecycle
- Case Study: Global financial crisis and regulatory reforms

Module 2: Prudential Regulation and Capital Adequacy

- Capital adequacy ratios and buffers
- Liquidity coverage ratio (LCR)

- Net stable funding ratio (NSFR)
- Stress testing and scenario analysis
- Case Study: Capital management in major global banks

Module 3: Risk Management and Governance

- Enterprise risk management frameworks
- Corporate governance principles
- Risk appetite and tolerance
- Board and senior management responsibilities
- Case Study: Governance failures in banking institutions

Module 4: AML, KYC, and Financial Crime Compliance

- Anti-Money Laundering frameworks
- Know Your Customer (KYC) processes
- Counter-Terrorism Financing (CTF)
- Transaction monitoring systems
- Case Study: AML compliance failures and penalties

Module 5: Regulatory Reporting and Compliance Systems

- Regulatory reporting requirements
- Data management and accuracy
- Compliance monitoring tools
- Supervisory review processes
- Case Study: Reporting failures and regulatory sanctions

Module 6: Digital Banking and Fintech Regulations

- Fintech regulatory landscape
- Digital banking compliance requirements
- Cybersecurity and data privacy laws
- Open banking and API regulations
- Case Study: Fintech disruption and regulatory responses

Module 7: ESG and Sustainable Banking Regulations

- Environmental, Social, and Governance (ESG) principles
- Sustainable finance regulations
- Climate risk disclosures
- Social responsibility in banking
- Case Study: ESG compliance in leading financial institutions

Module 8: Regulatory Audits and Supervisory Engagement

- Regulatory inspections and audits
- Compliance audit frameworks
- Handling regulatory inquiries
- Remediation and corrective action plans
- Case Study: Successful regulatory audit strategies

Training Methodology

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Online	$1,000
23 Nov 2026	27 Nov 2026	Physical	$1,500
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$3,000

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$4,500
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$6,000

Ready to enroll or request a customized program? Book online or contact us:

Register Online Now

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