Corporate Actions in Securities Markets Training Course

Professional Development Training Course Outline

Category	Capital Markets and Investment	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

Corporate Actions in Securities Markets Training Course is meticulously designed for financial professionals, operations specialists, and market participants seeking in-depth knowledge of corporate actions and their impact on securities markets. Participants will gain practical expertise in handling corporate events such as dividends, mergers, acquisitions, stock splits, rights issues, and spin-offs while understanding regulatory frameworks, market standards, and operational workflows. This course emphasizes accurate processing, risk mitigation, and efficient communication across custodians, depositories, and brokers, ensuring participants can confidently navigate complex corporate action events in global financial markets.

With a focus on real-world applications and actionable insights, this training combines theoretical foundations with interactive case studies, enabling participants to bridge the gap between knowledge and implementation. Learners will develop competencies in event identification, record-keeping, entitlements calculation, and reconciliation processes while leveraging technology to optimize operations. By the end of this course, participants will be equipped to enhance operational efficiency, reduce errors, and strengthen compliance in securities processing, ultimately contributing to the overall performance and credibility of their organizations in the capital markets.

Programme Curriculum

Corporate Actions in Securities Markets Training Course

Introduction

Corporate Actions in Securities Markets Training Course is meticulously designed for financial professionals, operations specialists, and market participants seeking in-depth knowledge of corporate actions and their

impact on securities markets. Participants will gain practical expertise in handling corporate events such as dividends, mergers, acquisitions, stock splits, rights issues, and spin-offs while understanding regulatory frameworks, market standards, and operational workflows. This course emphasizes accurate processing, risk mitigation, and efficient communication across custodians, depositories, and brokers, ensuring participants can confidently navigate complex corporate action events in global financial markets.

With a focus on real-world applications and actionable insights, this training combines theoretical foundations with interactive case studies, enabling participants to bridge the gap between knowledge and implementation. Learners will develop competencies in event identification, record-keeping, entitlements calculation, and reconciliation processes while leveraging technology to optimize operations. By the end of this course, participants will be equipped to enhance operational efficiency, reduce errors, and strengthen compliance in securities processing, ultimately contributing to the overall performance and credibility of their organizations in the capital markets.

Course Objectives

1. Understand the types and classifications of corporate actions in securities markets
2. Analyze the impact of dividends, splits, mergers, and spin-offs on shareholders and market operations
3. Develop skills for accurate corporate action event processing and reconciliation
4. Apply regulatory compliance standards and market conventions in corporate actions
5. Identify entitlements and manage ex-date, record date, and payment date processes
6. Implement efficient workflows for corporate actions across custodians, brokers, and depositories
7. Leverage automation tools and software for corporate action management
8. Minimize operational risks and errors associated with corporate actions
9. Evaluate the role of communication and notification strategies in corporate actions
10. Conduct comprehensive risk assessment and mitigation strategies
11. Analyze case studies to solve real-world corporate action challenges
12. Enhance decision-making capabilities regarding corporate events
13. Improve organizational performance through effective corporate action management

Organizational Benefits

- Streamlined corporate action processing
- Reduced operational errors and settlement failures
- Enhanced compliance with regulatory standards
- Improved client satisfaction and investor confidence
- Efficient use of technology and automation tools
- Strengthened internal controls and audit readiness
- Enhanced market credibility and reputation
- Better risk management and mitigation strategies
- Increased efficiency in back-office operations
- Improved knowledge sharing and staff competency

Target Audiences

1. Securities operations professionals

2. Investment banking staff
3. Fund administrators
4. Custody operations specialists
5. Risk and compliance officers
6. Financial analysts and portfolio managers
7. Brokers and depository staff
8. Corporate finance professionals

Course Duration: 5 days

Course Modules

Module 1: Introduction to Corporate Actions

- Overview of corporate actions in securities markets
- Types of corporate actions: mandatory, voluntary, and mandatory with options
- Impact on shareholders and market participants
- Regulatory frameworks and market standards
- Tools and technology for corporate actions processing
- Case study: Analysis of a dividend event and its operational workflow

Module 2: Dividend Management

- Cash and stock dividends processing
- Record date, ex-date, and payment date procedures
- Entitlement calculations and reconciliation
- Tax implications and reporting requirements
- Communication strategies with shareholders
- Case study: Resolving dividend processing errors

Module 3: Mergers and Acquisitions

- Understanding mergers, acquisitions, and tender offers
- Valuation methods and shareholder impacts
- Operational processing of corporate actions in M&A
- Regulatory compliance and disclosure requirements
- Risk management strategies
- Case study: Processing a cross-border acquisition

Module 4: Stock Splits and Consolidations

- Understanding forward and reverse stock splits
- Calculation of new share entitlements

- System updates and shareholder communication
- Market impact and operational challenges
- Settlement and reconciliation processes
- Case study: Implementing a global stock split

Module 5: Rights Issues and Warrants

- Issuance of rights and warrants
- Subscription procedures and exercise processes
- Pricing and allocation strategies
- Record-keeping and reporting requirements
- Market risk and shareholder implications
- Case study: Handling a rights issue in an emerging market

Module 6: Spin-offs and Asset Reorganizations

- Corporate restructuring strategies
- Operational processes for spin-offs
- Shareholder entitlement and allocation methods
- Reporting, compliance, and communication requirements
- Managing post-event reconciliation
- Case study: Operational lessons from a spin-off transaction

Module 7: Automation and Technology in Corporate Actions

- Software solutions and digital platforms
- Workflow automation and error reduction
- Data integrity and reconciliation
- Real-time processing and notifications
- Integration with back-office systems
- Case study: Implementing automation in a corporate actions department

Module 8: Risk Management and Compliance

- Identifying operational risks in corporate actions
- Developing mitigation and control measures
- Regulatory compliance audits
- Reporting and monitoring frameworks
- Crisis management in corporate events
- Case study: Mitigating risks in a high-volume corporate action

Training Methodology

- Interactive lectures with practical examples
- Real-world case studies for hands-on learning
- Group discussions and role-playing exercises
- Workflow simulations and operational exercises
- Technology demonstrations and software tutorials
- Q&A sessions and expert consultations

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.
f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Online	$1,000
23 Nov 2026	27 Nov 2026	Physical	$1,500
23 Nov 2026	27 Nov 2026	Physical	$3,000

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$4,500
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$6,000

Ready to enroll or request a customized program? Book online or contact us:

Register Online Now

Email: info@fineskilltrainingcenter.com | Website: www.fineskilltrainingcenter.com