Data Governance in Investment Firms Training Course

Professional Development Training Course Outline

Category	Capital Markets and Investment	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

Data Governance in Investment Firms Training Course is designed to equip professionals with the strategic knowledge and practical tools needed to manage, secure, and optimize data assets within investment organizations. With the increasing complexity of financial markets and the growing volume of data, investment firms face mounting pressure to ensure data integrity, compliance, and operational efficiency. This course emphasizes the integration of regulatory requirements, best practices, and advanced technologies to establish robust data governance frameworks that drive business value and reduce risk exposure.

Participants will gain hands-on experience in implementing data governance policies, developing data stewardship models, and leveraging analytics to support informed investment decisions. Through interactive sessions, real-world case studies, and practical exercises, attendees will learn to foster a data-driven culture, improve data quality, and ensure compliance with global standards such as BCBS 239, GDPR, and MiFID II. By completing this course, professionals will be prepared to lead data governance initiatives that enhance transparency, operational efficiency, and strategic decision-making within investment firms.

Programme Curriculum

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Course Objectives

1. Understand the fundamentals and principles of data governance in investment environments.
2. Identify regulatory and compliance requirements impacting investment data management.
3. Implement data stewardship frameworks to improve data ownership and accountability.
4. Develop data quality management strategies for accurate investment decision-making.
5. Utilize metadata management for enhanced data traceability and reporting.
6. Apply risk management techniques to mitigate data-related operational risks.
7. Integrate data governance practices with enterprise data architecture.
8. Leverage analytics and business intelligence to support data-driven decisions.
9. Design and implement master data management frameworks.
10. Evaluate data governance technologies and tools for investment firms.
11. Align data governance with investment firm strategic objectives.
12. Measure and monitor data governance effectiveness through KPIs.
13. Foster a data-centric culture across teams and departments.

Organizational Benefits

- Improved data quality and reliability for investment decisions.
- Enhanced regulatory compliance and reduced risk exposure.
- Streamlined data management processes and operational efficiency.
- Stronger accountability and ownership of data assets.
- Better decision-making through actionable insights and analytics.
- Reduced operational costs through standardized data practices.
- Increased transparency and auditability of data processes.
- Support for digital transformation initiatives and data modernization.
- Minimized risk of data breaches and information mismanagement.
- Competitive advantage through optimized data governance practices.

Target Audiences

1. Chief Data Officers and Data Managers in investment firms.
2. Compliance officers and risk management professionals.
3. Investment analysts and portfolio managers.
4. IT professionals specializing in data architecture.

5. Data stewards and quality assurance teams.
6. Business analysts and reporting managers.
7. Audit and regulatory reporting specialists.
8. Consultants supporting investment firms on data governance.

Course Duration: 5 days

Course Modules

Module 1: Introduction to Data Governance in Investment Firms

- Principles of data governance
- Regulatory and compliance overview
- Data governance frameworks and models
- Key challenges in investment data management
- Benefits of effective data governance
- Case Study: Implementing data governance at a mid-sized investment firm

Module 2: Data Stewardship and Accountability

- Roles and responsibilities of data stewards
- Establishing ownership and accountability
- Managing data definitions and standards
- Monitoring data quality metrics
- Building cross-functional data governance teams
- Case Study: Data stewardship in global investment operations

Module 3: Data Quality Management

- Understanding data quality dimensions
- Implementing data validation techniques
- Data cleansing and enrichment strategies
- Monitoring and reporting data quality issues
- Integrating data quality into workflows
- Case Study: Enhancing portfolio data quality

Module 4: Regulatory Compliance and Reporting

- Overview of GDPR, MiFID II, and BCBS 239
- Data retention and archiving requirements
- Audit trails and reporting frameworks
- Compliance monitoring tools
- Risk-based approach to data compliance

- Case Study: Regulatory reporting compliance in hedge funds

Module 5: Metadata and Master Data Management

- Metadata management fundamentals
- Master data lifecycle management
- Ensuring data consistency across systems
- Leveraging metadata for analytics and reporting
- Integration with enterprise systems
- Case Study: Master data governance implementation

Module 6: Risk Management in Data Governance

- Identifying data-related risks
- Risk assessment methodologies
- Mitigation strategies for data risks
- Monitoring and reporting risk indicators
- Building a risk-aware data culture
- Case Study: Risk mitigation in investment operations

Module 7: Analytics and Business Intelligence Integration

- Data governance for analytics and BI
- Ensuring data accuracy in dashboards and reports
- Data lineage for decision-making
- Integrating governance with advanced analytics
- Performance monitoring and KPI reporting
- Case Study: Data-driven investment decision support

Module 8: Technology and Tools for Data Governance

- Data governance platforms overview
- Selecting the right technology for your firm
- Implementing automation in data governance
- Cloud-based vs on-premises solutions
- Emerging technologies in investment data governance
- Case Study: Technology adoption for scalable data governance

Training Methodology

- Interactive instructor-led sessions

- Real-world case studies analysis
- Hands-on exercises and practical simulations
- Group discussions and peer learning
- Quizzes and knowledge checks
- Workshops for policy and framework implementation

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.
f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Online	$1,000
23 Nov 2026	27 Nov 2026	Physical	$1,500
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$3,000

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$4,500
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$6,000

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