ETFs & Exchange-Traded Products Training Course

Professional Development Training Course Outline

Category	Capital Markets and Investment	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

The financial landscape has rapidly evolved, and exchange-traded products (ETPs) such as ETFs (Exchange-Traded Funds) have emerged as a pivotal component in modern investment strategies. ETFs & Exchange-Traded Products Training Course provides participants with an in-depth understanding of how ETFs function, their structural design, regulatory frameworks, and investment applications. Designed for finance professionals, portfolio managers, and individual investors, the course emphasizes practical strategies, market insights, and risk management techniques to enhance portfolio diversification, liquidity, and return optimization. Participants will also gain a detailed comprehension of global trends, technological innovations in trading platforms, and the latest analytical tools used in ETF investment and operations.

This comprehensive course merges theoretical knowledge with practical applications, enabling participants to confidently evaluate, manage, and implement ETFs and other exchange-traded products within their organizations or personal investment portfolios. Through case studies, scenario analysis, and hands-on exercises, participants will learn to navigate market volatility, assess liquidity risks, and develop structured investment strategies aligned with organizational goals. By the end of the program, learners will be well-equipped to leverage ETFs for wealth creation, strategic asset allocation, and informed decision-making in rapidly changing financial markets.

Programme Curriculum

ETFs & Exchange-Traded Products Training Course

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Course Objectives

1. Understand the fundamental structure and types of ETFs and ETPs in global markets
2. Analyze the regulatory frameworks governing ETFs, including SEC, FINRA, and global compliance standards
3. Examine liquidity management and market-making strategies in ETF trading
4. Apply advanced ETF valuation techniques and performance analytics
5. Explore tax implications and reporting standards for ETFs
6. Assess risk management strategies including tracking error, market risk, and counterparty risk
7. Evaluate ETF portfolio construction and diversification strategies
8. Understand the role of ETFs in retirement and institutional investment planning
9. Explore emerging trends such as thematic ETFs, ESG-focused ETFs, and smart beta strategies
10. Conduct comparative analysis between ETFs, mutual funds, and other investment vehicles
11. Implement ETF trading strategies including arbitrage, hedging, and intraday trading
12. Analyze global ETF market growth, adoption trends, and investment flows
13. Develop actionable skills for integrating ETFs into corporate investment and wealth management strategies

Organizational Benefits

- Improved portfolio diversification techniques
- Enhanced understanding of ETF liquidity and trading efficiency
- Reduced investment risk through structured ETF strategies
- Optimized asset allocation for organizational portfolios
- Informed decision-making for institutional and retail investment strategies

- Increased profitability via advanced ETF trading techniques
- Better compliance with regulatory and reporting requirements
- Enhanced capability to implement ESG and thematic investment products
- Improved analytical skills for ETF performance evaluation
- Greater strategic insights into global market trends

Target Audiences

1. Portfolio managers
2. Financial analysts
3. Investment advisors
4. Wealth management professionals
5. Risk management specialists
6. Compliance officers
7. Institutional investors
8. Individual investors seeking advanced ETF knowledge

Course Duration: 5 days

Course Modules

Module 1: Introduction to ETFs & ETPs

- Overview of ETFs and other ETPs
- Historical development and market evolution
- Types of ETFs: equity, fixed income, commodity, and leveraged
- Benefits and challenges of ETF investing
- Key market participants and stakeholders
- Case Study: Launch and performance analysis of a flagship ETF

Module 2: Regulatory Frameworks & Compliance

- SEC and FINRA guidelines for ETFs
- Global regulatory standards for ETFs
- Disclosure requirements and reporting obligations
- Legal risks and compliance best practices
- Anti-money laundering and market manipulation considerations

- Case Study: Regulatory compliance failure and lessons learned

Module 3: ETF Trading Mechanisms

- Market-making and liquidity management
- Intraday trading strategies
- Authorized participants and creation/redemption process
- Bid-ask spreads and trading efficiency
- Arbitrage opportunities in ETFs
- Case Study: Market-making strategy for a high-volume ETF

Module 4: ETF Valuation & Performance Analysis

- Net asset value (NAV) calculation
- Tracking error assessment
- Benchmarking against indices
- Risk-adjusted performance metrics
- Performance attribution techniques
- Case Study: Evaluating ETF performance in volatile markets

Module 5: Taxation & Reporting for ETFs

- Tax treatment for ETF distributions
- Capital gains considerations
- International taxation issues
- Reporting requirements for investors
- Tax-efficient investment strategies
- Case Study: Tax optimization strategy using ETFs

Module 6: Portfolio Construction with ETFs

- Asset allocation strategies
- Diversification benefits
- Risk-return optimization
- Combining ETFs with other investment vehicles
- Strategic vs tactical ETF allocations
- Case Study: Building a balanced ETF portfolio

Module 7: Advanced ETF Strategies

- Thematic ETFs and ESG investments
- Smart beta and factor-based investing
- Hedging with ETFs
- Leveraged and inverse ETF applications
- Intraday trading and liquidity exploitation
- Case Study: Implementation of a thematic ETF strategy

Module 8: Global ETF Market Trends

- Market growth and adoption trends
- Emerging markets ETF opportunities
- Technological innovations in trading platforms
- ETF investment flows and capital allocation trends
- Future outlook for ETFs
- Case Study: Comparative analysis of ETF growth in developed vs emerging markets

Training Methodology

- Interactive lectures with real-world examples
- Hands-on workshops and exercises
- Case study analysis for practical understanding
- Group discussions and peer learning
- Simulation of ETF trading scenarios
- Expert guest sessions with market practitioners

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Online	$1,000
23 Nov 2026	27 Nov 2026	Physical	$1,500
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$4,500
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$6,000

Ready to enroll or request a customized program? Book online or contact us:

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