Microfinance Risk & Compliance Management Training Course

Professional Development Training Course Outline

Category	Microfinance & Financial Inclusion	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

Microfinance Risk & Compliance Management provides a comprehensive foundation for identifying, assessing, mitigating, and monitoring risks within microfinance institutions (MFIs) and financial inclusion programs. In today's rapidly evolving financial environment, MFIs face increasing pressures related to regulatory compliance, credit portfolio quality, operational vulnerabilities, digital transformation, fraud prevention, and client protection. Microfinance Risk & Compliance Management Training Course equips professionals with advanced strategies, frameworks, and analytical tools to strengthen institutional resilience, ensure sustainable financial performance, and uphold global compliance standards.

Participants gain practical insights into enterprise risk management, regulatory reporting, internal controls, compliance audits, anti-fraud strategies, governance frameworks, and digital risk structures within microfinance ecosystems. The training emphasizes the importance of ethical conduct, financial transparency, digital compliance, and responsible lending to maintain institutional credibility and enhance trust among clients, regulators, and stakeholders across the financial inclusion sector.

Programme Curriculum

Microfinance Risk & Compliance Management Training Course

Introduction

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Course Objectives

1. Understand foundational principles of microfinance risk and compliance management.
2. Identify key risk categories affecting microfinance institutions.
3. Apply trending risk assessment tools and compliance frameworks.
4. Evaluate loan portfolio risks using modern analytical approaches.
5. Strengthen operational risk controls and internal audit mechanisms.
6. Develop regulatory compliance strategies aligned with national guidelines.
7. Implement fraud detection and prevention techniques within MFIs.
8. Enhance governance structures and ethical financial conduct.
9. Apply digital risk management in fintech-enabled microfinance systems.
10. Strengthen client protection standards and responsible finance practices.
11. Improve documentation, reporting, and compliance monitoring.
12. Develop institutional risk mitigation plans and corrective action measures.
13. Apply data-driven insights to strengthen decision-making and institutional resilience.

Organizational Benefits

- Stronger compliance with regulatory frameworks
- Improved detection and mitigation of financial and operational risks
- Enhanced loan portfolio quality and reduced default rates
- Increased institutional transparency and accountability
- Strengthened fraud prevention and internal controls
- Better governance and oversight of financial processes
- Improved digital and cybersecurity risk posture
- Enhanced capacity to serve clients responsibly and ethically
- Reduced financial losses through effective risk planning
- Increased institutional credibility with stakeholders

Target Audiences

- Microfinance risk managers
- Compliance and regulatory officers
- Internal auditors and control teams
- Microfinance executives and operations managers
- Credit managers and portfolio analysts
- Digital finance and fintech risk teams
- NGO and development finance practitioners
- Governance and oversight professionals

Course Duration: 5 days

Course Modules

Module 1: Introduction to Microfinance Risk Management

- Understanding risk categories in microfinance
- Key drivers of institutional risk in MFIs
- Overview of regulatory and supervisory expectations
- Importance of enterprise risk management frameworks
- Links between governance and risk management
- Case Study: Institutional collapse due to unmanaged risks

Module 2: Credit Risk and Portfolio Quality

- Assessing credit risk in microfinance lending
- Portfolio segmentation and risk profiling
- Early warning indicators for credit deterioration
- Techniques for improving loan recovery performance
- Responsible lending and client assessment practices
- Case Study: Portfolio turnaround through improved credit controls

Module 3: Operational Risk and Internal Controls

- Identifying operational vulnerabilities in MFIs
- Designing strong internal control systems
- Risk mapping of business processes
- Business continuity planning and operational resilience
- Monitoring tools for operational risk events
- Case Study: Internal control failures leading to financial loss

Module 4: Regulatory Compliance and Reporting

- Compliance obligations for microfinance entities
- Requirements for anti-money laundering (AML) and CFT compliance
- Reporting obligations to regulators and stakeholders
- Documentation standards and record-keeping practices
- Building compliance monitoring and review mechanisms
- Case Study: Compliance breach and regulatory penalties

Module 5: Fraud Risk Management

- Types of fraud in microfinance operations
- Fraud risk assessment and investigative techniques
- Digital fraud trends in microfinance platforms
- Strengthening whistleblowing and reporting channels
- Mitigation strategies for internal and external fraud
- Case Study: Fraud detection leading to institutional reforms

Module 6: Digital Risk and Cybersecurity

- Digital transformation risks in microfinance

- Cybersecurity threats and preventive controls
- Risk considerations in mobile money and fintech partnerships
- Data protection and privacy requirements
- Strengthening digital compliance monitoring
- Case Study: Digital breach affecting microfinance clients

Module 7: Client Protection and Responsible Finance

- Principles of responsible financial conduct
- Preventing client over-indebtedness and misconduct
- Enhancing transparency and fair treatment of clients
- Strengthening grievance resolution mechanisms
- Building financial capability among clients
- Case Study: Client protection lapses affecting institutional reputation

Module 8: Building an Integrated Risk & Compliance Framework

- Designing a holistic risk and compliance framework
- Integrating monitoring tools and automated reporting
- Developing institution-wide compliance culture
- Risk governance and leadership oversight
- Linking risk management to strategic planning
- Case Study: Successful implementation of enterprise risk governance

Training Methodology

- Instructor-led presentations and risk management briefings
- Practical exercises using real microfinance scenarios
- Case study analysis of global and regional MFI experiences
- Group work and collaborative compliance simulations
- Demonstrations of digital and analytical risk tools
- Continuous feedback and participant performance review

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Online	$1,000
23 Nov 2026	27 Nov 2026	Physical	$1,500
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$4,500
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$6,000

Ready to enroll or request a customized program? Book online or contact us:

Register Online Now

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