Regulatory Change Management for Risk Teams Training Course

Professional Development Training Course Outline

Category	Risk Management	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

Regulatory Change Management (RCM) has evolved from a reactive compliance function into a core Strategic Risk Management imperative. In today's dynamic global landscape, characterized by accelerating regulatory velocity and complexity, a proactive and integrated approach is essential for organizational resilience and sustained performance. Regulatory Change Management for Risk Teams Training Course is specifically designed to equip risk professionals with the advanced knowledge and practical toolsets to navigate this challenging environment. We focus on transforming the burden of compliance into a competitive advantage by embedding effective change processes directly into the enterprise Risk Framework.

The effective management of Regulatory Risk demands a sophisticated Governance, Risk, and Compliance architecture. This course will delve into cutting-edge methodologies, including the application of AI and automation for efficient horizon scanning, impact analysis, and control mapping. By mastering a disciplined RCM lifecycle, risk teams can ensure timely compliance adherence, mitigate the escalating threat of non-compliance penalties, and maintain operational continuity. The goal is to build an Agile Compliance function capable of rapid organizational adaptation to global and local legislative shifts, securing the organization's reputational integrity.

Programme Curriculum

Regulatory Change Management for Risk Teams Training Course

Introduction

Regulatory Change Management (RCM) has evolved from a reactive compliance function into a core Strategic Risk Management imperative. In today's dynamic global landscape, characterized by accelerating regulatory velocity and complexity, a proactive and integrated approach is essential for organizational resilience and

sustained performance. Regulatory Change Management for Risk Teams Training Course is specifically designed to equip risk professionals with the advanced knowledge and practical toolsets to navigate this challenging environment. We focus on transforming the burden of compliance into a competitive advantage by embedding effective change processes directly into the enterprise Risk Framework.

The effective management of Regulatory Risk demands a sophisticated Governance, Risk, and Compliance architecture. This course will delve into cutting-edge methodologies, including the application of AI and automation for efficient horizon scanning, impact analysis, and control mapping. By mastering a disciplined RCM lifecycle, risk teams can ensure timely compliance adherence, mitigate the escalating threat of non-compliance penalties, and maintain operational continuity. The goal is to build an Agile Compliance function capable of rapid organizational adaptation to global and local legislative shifts, securing the organization's reputational integrity.

Course Duration

5 days

Course Objectives

1. Master the Regulatory Horizon Scanning process using RegTech and AI-driven intelligence.
2. Conduct comprehensive Regulatory Impact Assessments on Operational Resilience and business processes.
3. Design and implement an Agile RCM Framework aligned with enterprise Risk Appetite and strategy.
4. Effectively integrate Regulatory Risk into the broader Enterprise Risk Management (ERM) structure.
5. Develop robust Control Mapping and Policy Update protocols for new regulations
6. Lead Cross-Functional Collaboration between Risk, Compliance, Legal, and IT for seamless change implementation.
7. Apply Workflow Automation to streamline regulatory change tasks and enhance operational efficiency.
8. Establish key Risk Indicators (KRIs) and Key Performance Indicators (KPIs) for continuous Compliance Monitoring.
9. Navigate the unique challenges of Global Regulatory Divergence and multi-jurisdictional compliance.
10. Mitigate emerging Cyber Risk and Data Governance challenges arising from regulatory changes.
11. Produce clear, actionable Board-Level Reporting on regulatory status and potential risk exposure.
12. Cultivate a proactive, top-down Culture of Compliance and accountability within the organization.
13. Leverage RCM data for improved Strategic Decision-Making and capital allocation.

Target Audience

1. Risk Managers (Operational, Enterprise, Financial).
2. Compliance Officers/Analysts in highly regulated industries
3. Internal Auditors responsible for assessing regulatory change processes.
4. GRC (Governance, Risk, and Compliance) Specialists.
5. Heads of Legal/Regulatory Affairs.
6. IT/Technology Risk Managers focusing on RegTech and system implementation.
7. Senior Management/Executives with regulatory oversight responsibilities.
8. Policy and Procedure Developers and owners.

Course Modules

Module 1: The RCM Foundation and Strategic Alignment

- Defining Regulatory Change Management and its evolution to a strategic risk function.
- Mapping the RCM lifecycle.
- Integrating RCM into the Three Lines of Defense model.
- Case Study: Analysis of a major bank's multi-million-dollar fine due to an Ad-Hoc vs. Structured RCM approach, highlighting the cost of non-compliance.
- Establishing Senior Leadership Buy-in and clear accountability structures.

Module 2: Regulatory Intelligence and Horizon Scanning

- Techniques for proactive Horizon Scanning and anticipating regulatory trends
- Utilizing RegTech Tools for automated regulatory tracking and filtering.
- Developing a standardized Regulatory Taxonomy for efficient content classification.
- Case Study: Simulating the use of an AI-powered platform to filter thousands of daily global updates down to the 5 most relevant for a specific business unit.
- Managing data feeds from multiple sources and jurisdictions

Module 3: Impact Analysis and Risk Assessment

- Conducting a multi-dimensional Regulatory Impact Assessment (RIA)
- Performing detailed Gap Analysis between new requirements and existing controls/policies.
- Quantifying the Regulatory Risk using heat maps and scoring models.
- Case Study: Assessing the operational and system impact of a new Data Residency requirement across a global IT infrastructure.
- Prioritizing changes based on Urgency, Magnitude of Impact, and Risk Exposure.

Module 4: Implementation Planning and Control Mapping

- Developing a structured Implementation Action Plan with clear milestones and resource allocation.
- Designing and updating internal Controls and business Policies to meet new obligations.
- Techniques for effective Control Mapping to demonstrate compliance to auditors.
- Case Study: A project plan for embedding new Cybersecurity Requirements into the IT controls framework.
- Managing change in a project environment using Agile and Waterfall methodologies.

Module 5: Stakeholder Engagement and Change Communication

- Strategies for effective Cross-Functional Communication
- Defining roles and responsibilities (RACI) for change owners and assessors.
- Techniques for overcoming Organizational Resistance to regulatory change.
- Case Study: Developing a targeted Communication Strategy for informing front-line staff about changes to Anti-Money Laundering (AML) procedures.
- Reporting and engaging with the Board of Directors and external regulators.

Module 6: Technology and Automation in RCM

- Overview of RegTech Solutions and their role in improving RCM efficiency.
- Implementing Workflow Automation for tracking assessment and implementation tasks.
- Leveraging GRC Platforms for centralized regulatory content and audit trails.
- Case Study: Demonstrating a Low-Code/No-Code automation solution for triggering a new policy review upon a regulation update.
- Integrating RCM tools with core business systems

Module 7: Monitoring, Testing, and Assurance

- Designing and tracking Key Risk Indicators and Compliance KPIs for ongoing monitoring.
- Developing a continuous Control Testing program specific to regulatory changes.
- Preparing for and responding to Internal and External Audits of the RCM process.
- Case Study: Setting up an automated dashboard to monitor adherence to Market Abuse Regulation training completion and policy attestation.
- Maintaining a comprehensive, Audit-Ready Documentation trail of all regulatory changes.

Module 8: Emerging Regulatory Themes and Future-Proofing

- Digital Operational Resilience and AI Governance.
- Environmental, Social, and Governance reporting and compliance
- Managing compliance in the context of Cloud Computing and Third-Party Risk Management
- Case Study: Scenario planning and impact assessment for a hypothetical, sudden new Geopolitical Sanctions regime.
- Developing a robust process for Lesson Learned and continuous process improvement.

Training Methodology

This course employs a participatory and hands-on approach to ensure practical learning, including:

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call +254769199797

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Online	$1,000
23 Nov 2026	27 Nov 2026	Physical	$1,500
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$4,500
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$6,000

Ready to enroll or request a customized program? Book online or contact us:

Register Online Now

Email: info@fineskilltrainingcenter.com | Website: www.fineskilltrainingcenter.com