Sanctions Compliance for Banks Training Course

Professional Development Training Course Outline

Category	Banking Institute	**Duration**	5 Days
Base Fee	$1,500 USD	**Delivery Mode**	Online / On-site Hybrid

Course Introduction

In an increasingly interconnected global financial environment, Sanctions Compliance has become a critical pillar of banking risk management, regulatory compliance, financial crime prevention, and operational resilience. Sanctions Compliance for Banks Training Course equips banking professionals with advanced knowledge of international sanctions regimes, regulatory obligations, compliance governance, sanctions risk assessment, and technology-enabled compliance solutions to effectively identify, manage, and mitigate sanctions exposure.

The course provides practical insights into designing and strengthening sanctions compliance programs, implementing effective screening controls, escalation processes, investigation techniques, and regulatory reporting mechanisms. Through real-world case studies, participants will explore sanctions breaches, enforcement actions, emerging compliance challenges, and the impact of digital banking, cross-border payments, artificial intelligence (AI), and geopolitical risks on sanctions management. Participants will develop the capabilities required to build a proactive sanctions risk culture and support sustainable compliance excellence within modern banking institutions.

Programme Curriculum

Sanctions Compliance for Banks Training Course

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Course Duration

5 days

Course Objectives

By the end of this training course, participants will be able to:

1. Understand the fundamentals of global sanctions frameworks and regulatory compliance requirements.
2. Develop effective sanctions compliance programs aligned with international best practices.
3. Apply advanced sanctions risk assessment methodologies within banking operations.
4. Strengthen customer screening, transaction screening, and watchlist management processes.
5. Understand the role of AML, KYC, CDD, and financial crime compliance integration.
6. Implement effective sanctions governance, policies, procedures, and internal controls.
7. Manage sanctions investigations using risk-based decision-making approaches.
8. Improve compliance monitoring through RegTech, automation, and AI-powered solutions.
9. Identify and mitigate risks associated with cross-border payments and correspondent banking.
10. Understand enforcement actions, regulatory penalties, and lessons from sanctions violations.
11. Enhance compliance reporting, documentation, and audit readiness.
12. Develop strategies for managing emerging sanctions risks and geopolitical changes.
13. Build a strong enterprise-wide sanctions compliance culture and accountability framework.

Target Audience

1. Chief Compliance Officers and Compliance Managers
2. Sanctions Compliance Officers and Financial Crime Specialists
3. AML/KYC Professionals
4. Banking Risk Management Professionals
5. Internal Auditors and Regulatory Examination Teams
6. Legal and Governance Professionals
7. Operations, Payments, and Transaction Banking Teams
8. Senior Banking Executives and Decision Makers

Course Modules

Module 1: Fundamentals of Sanctions Compliance in Banking

- Introduction to sanctions compliance and financial crime prevention.
- Types of sanctions: economic, trade, financial, and sectoral sanctions.
- Global sanctions authorities and regulatory bodies.
- Impact of sanctions on banking operations and international transactions.
- Principles of risk-based sanctions compliance management.

- **Case Study:** Analysis of a global bank sanctions violation and the operational failures that resulted in regulatory penalties.

Module 2: Global Sanctions Frameworks and Regulatory Requirements

- Understanding major sanctions regimes and regulatory expectations.
- Overview of international sanctions obligations.
- Differences between national and international sanctions requirements.
- Managing conflicts between multiple jurisdictions.
- Regulatory updates and emerging sanctions trends.
- **Case Study:** Review of a multinational bank managing conflicting sanctions requirements across different countries.

Module 3: Sanctions Risk Assessment and Compliance Governance

- Developing sanctions risk assessment frameworks.
- Identifying high-risk customers, countries, and transactions.
- Establishing sanctions governance structures.
- Board and senior management responsibilities.
- Creating effective sanctions compliance policies.
- **Case Study:** A bank redesigning its sanctions risk framework after regulatory findings.

Module 4: Sanctions Screening and Monitoring Systems

- Customer sanctions screening processes.
- Transaction monitoring and payment screening.
- Watchlist management and data quality controls.
- False positives management and alert optimization.
- Technology solutions for sanctions screening automation.
- **Case Study:** Implementation of an AI-based sanctions screening solution to reduce operational inefficiencies.

Module 5: Sanctions Investigations and Alert Management

- Sanctions alert review methodologies.
- Investigation processes and documentation standards.
- Escalation and decision-making frameworks.
- Suspicious transaction identification.
- Reporting and record-keeping requirements.
- **Case Study:** Investigation of a suspicious cross-border payment linked to a sanctioned entity.

Module 6: Sanctions Compliance in Payments and Correspondent Banking

- Sanctions risks in international payments.
- Correspondent banking compliance challenges.
- SWIFT messaging and sanctions controls.
- Trade finance and sanctions exposure.
- Managing third-party and intermediary risks.
- **Case Study:** A correspondent banking relationship review following sanctions exposure.

Module 7: Technology, AI, and Future Trends in Sanctions Compliance

- Role of RegTech in sanctions compliance.
- Artificial intelligence and machine learning applications.
- Data analytics for sanctions risk detection.
- Blockchain and digital asset sanctions challenges.
- Future trends shaping compliance operations.
- **Case Study:**
  How a financial institution used analytics technology to improve sanctions detection.

Module 8: Sanctions Compliance Monitoring, Audit, and Continuous Improvement

- Compliance testing and quality assurance programs.
- Internal audit approaches for sanctions controls.
- Regulatory examination preparation.
- Measuring sanctions compliance effectiveness.
- Building a continuous improvement culture.
- **Case Study:** Lessons learned from a regulatory investigation into inadequate sanctions controls.

Training Methodology

- Interactive lectures and presentations.
- Group discussions and brainstorming sessions.
- Hands-on exercises using real-world datasets.
- Role-playing and scenario-based simulations.
- Analysis of case studies to bridge theory and practice.
- Peer-to-peer learning and networking.
- Expert-led Q&A sessions.
- Continuous feedback and personalized guidance.

Register as a group from 3 participants for a Discount

Send us an email: info@fineskilltrainingcenter.org or call *+254769199797*

Certification

Upon successful completion of this training, participants will be issued with a globally- recognized certificate.

Tailor-Made Course

We also offer tailor-made courses based on your needs.

Key Notes

a. The participant must be conversant with English.

b. Upon completion of training the participant will be issued with an Authorized Training Certificate

c. Course duration is flexible and the contents can be modified to fit any number of days.

d. The course fee includes facilitation training materials, 2 coffee breaks, buffet lunch and A Certificate upon successful completion of Training.

e. One-year post-training support Consultation and Coaching provided after the course.

f. Payment should be done at least a week before commence of the training, to Fineskill Training Center account, as indicated in the invoice so as to enable us prepare better for you.

Upcoming Scheduled Sessions

Start Date	End Date	Location	Price
23 Nov 2026	27 Nov 2026	Online	$1,000
23 Nov 2026	27 Nov 2026	Physical	$1,500
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$3,000
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$4,500
23 Nov 2026	27 Nov 2026	Physical	$6,000
23 Nov 2026	27 Nov 2026	Physical	$6,000

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Register Online Now

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